8 NON-CONFORMANCES AND SANCTIONS

8.1 Non-conformances

Audits are regularly undertaken to evaluate the effectiveness of implementation of ICA requirements. If, in the opinion of the auditor, there is evidence indicating that there has been a failure to meet one or more accreditation requirements, the auditor may raise a Non-conformance Report (NCR). Actions required to address the non-conformance shall be discussed and recorded on the NCR.

If the integrity of the accreditation has been significantly compromised, the non-conformance may provide grounds for the suspension or cancellation of the accreditation.

8.2 Incident Reports

Incident Reports may be raised by interstate quarantine authorities to report the detection of a non-conformance in equipment or machinery certified under this ICA arrangement. An investigation into the incident shall be conducted and findings reported back to the originator.

If the integrity of the accreditation has been significantly compromised, the incident may provide grounds for the suspension or cancellation of the accreditation.

8.3 Suspension and Cancellation

DPIRD may suspend or cancel an accreditation when an accredited business is found, for example, to have:

- obtained accreditation through the provision of false or misleading information;
- not paid fees owing to the DPIRD;
- contravened an accreditation requirement that compromises the integrity of the arrangement; and/or
- not rectified a non-conformance.

Any action taken by DPIRD to suspend or cancel an accreditation shall be provided in writing to the business. This shall also provide guidance on the lodgement of a written appeal requesting that the decision be reviewed.

9 CHARGING POLICY

The Business will be charged for all audit and investigation activities on a time basis at current rates prescribed by the DPIRD.

10 ATTACHMENTS

Attachment 1	Plant Health Assurance Certificate	Blank
Attachment 2	Grain Harvesters & Comb Checklist	Blank
Attachment 3	Register of Authorised Inspection Persons	Blank
Attachment 4	Cleaning and Inspection Record	Blank
Attachment 5	High Pressure Hot Water Calibration Record	Blank

Uncontrolled copy

Attachment 1

Department of
Primary Industries and Regional Development

GOVERNMENT OF WESTERN AUSTRALIA

ORIGINAL (Yellow) – Consignment Copy
DUPLICATE (Blue) – Quarantine WA Copy
TRIPLICATE (White) – Business (Book) Copy

Certificate Number:

Business Specific Information*

Dispatch Date: / / Ref No:

Arrival Date: / / PO No:

* These items display business specific information entered at the discretion of the consignor. They do not represent any part of the certifying conditions of the produce.

Plant Health Assurance Certificate

Biosecurity and Agriculture Management (Quality Assurance and Accreditation) Regulations 2013

All accreditation details must be completed. Please print clearly and initial any alterations

Consignment Details

Consignor

Name ABC Pty Ltd
Address Block Road
Perth WA 6000

Consignee

Name MR T for Tractors
Address Somewhere Road
Somewhere NSW

Re-consigned To

(Splitting consignments or re-consigning whole consignments).

Name
Address

Certification Details

IP Number	Facility Number	Procedure
W 9999	01	ICA66

Accredited Business That Prepared The Produce

Name ABC Pty Ltd
Address Block Road
Perth WA 6000

Grower or Packer

Name ABC Pty Ltd
Address Block Road
Perth WA 6000

Other Facilities Supplying Produce

Number of Packages	Type of Packages (e.g. trays, cartons)	Type of Produce	Brand Name or Identifying marks (As marked on packages)	Date Code (As marked on packages)	Authorisation for Split Consignment
1	Unit	Tractor	Case STX 440	JE099999D	
					Affix Authorisation Stamp to Split / Re-consignee here

Treatment Details

Treatment	Chemical (Active Ingredient)	Treatment Date	Concentration / Duration and Temperature

Additional Certification / Codes

Declaration

I, an authorised Signatory of the accredited business that prepared the plants or plant produce described above, hereby declare that the plants or plant produce have been prepared in the business's approved facilities in accordance with the business's Certification Assurance arrangement and that the details shown above are true and correct in every particular. I acknowledge that it is an offence under the Biosecurity and Agriculture Management (Quality Assurance and Accreditation) Regulations 2013 to issue assurance certificates without being accredited and/ or making false statements in certificates and declarations.

Authorised Signatory's Name (Please Print)	Signature	Date
Joe Bloggs	J.Bloggs	23/03/2021

Attachment 2

USED GRAIN HARVESTERS & COMB TRAILERS CHECKLIST (ICA66)

Name of Owner/Exporter		Name of Supervisor
Inspection Location	**Inspection Date**	**Description of Machinery**

			Clean? Y/N
1	Every grain harvester	Check that the following areas are clean of soil and plant material:	
		Area 1: the area under the skid plate,	
		Area 2: each header knife and finger,	
		Area 3: the auger located horizontally across the header,	
		Area 4: the area behind any cover on the header,	
		Area 5: the area within any belts on any draper front (if fitted),	
		Area 6: the feeder house,	
		Area 7: the driver's cab compartment floor area,	
		Area 8: the cleaning fan and the area between the bottom of the fan housing and any shield under the fan housing,	
		Area 9: the chassis, including the inside of any chassis rail ledges, back axle-beam and undercarriage areas,	
		Area 10: any tailing auger,	
		Area 11: any sieve area, including the full length and width of the grain pan,	
		Area 12: any grain bin area, including any auger,	
		Area 13: the engine compartment, including the radiator core,	
		Area 14: any grain or "repeat" elevator including any cups and rubber flights,	
		Area 15: any straw spreader or chopper,	
		Area 16: any tyres and rims.	
2	Conventional Harvester	In addition to the areas numbered 1 – 16, the following parts of any conventional harvester (as indicated in Diagram 1) must also be cleaned	
		Area 17: the threshing or separating area, including the drum and concaves behind the rasp bars and lead-in plates and around concave wires,	
		Area 18: the beater drum, including the area between the drum and walkers,	
		Area 19: the straw walkers, including the beater and the chaff pan, underneath any straw walker and any concealed areas under rubber air flaps.	
3	Rotary Harvester	In addition to the areas numbered 1 – 16, the following parts of any rotary harvester (as indicated in Diagram 2) must also be cleaned:	
		Area 17: the external top and sides of the conical section of the rotor cage,	
		Area 18: the areas inside the top of the conical section,	
		Area 19: the threshing or separating area, including along the rotor cage.	

Inspection Result	**Name of Authorised Inspection Person (Print)**	**Signature of Authorised Inspection Person**	**Phone No.**

USED GRAIN HARVESTERS & COMB CHECKLIST -

Diagram 1:

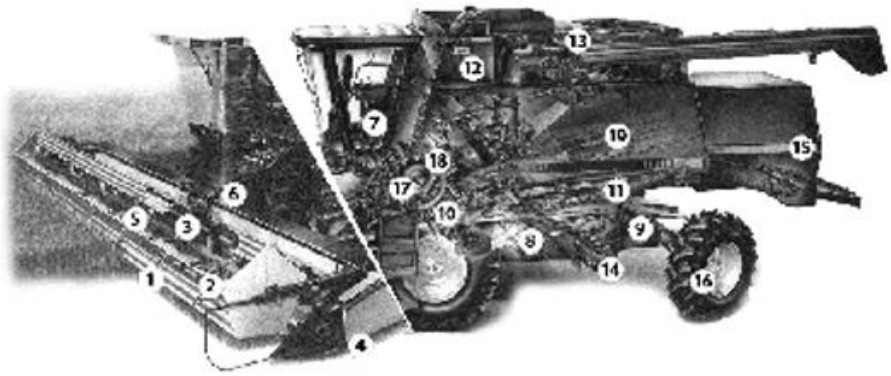
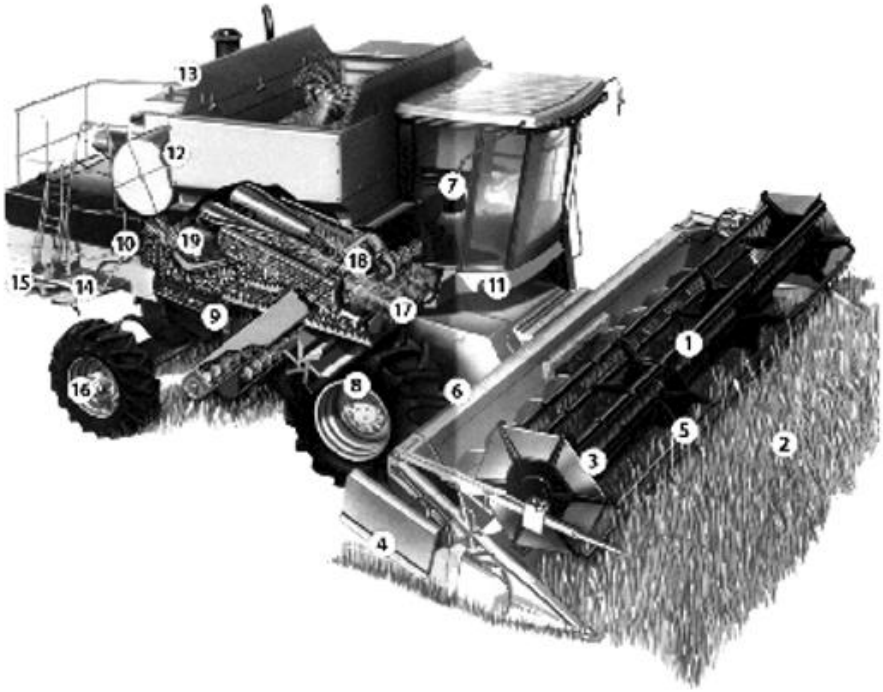


Diagram 2:



Attachment 3

REGISTER OF AUTHORISED INSPECTION PERSONS (ICA66)

Accredited Business Name			IP Number	W				
Date of Training	Approved Inspection Person		Authorisation by Certification Controller					
	Printed Name	Signature	Printed Name		Signature			

Note: Place a line through any entry for any person who is no longer Approved to carry out tomato potato psyllid inspections under the Business's Interstate Certification Assurance arrangement.

Attachment 4

AGRICULTURAL AND HORTICULTURAL MACHINERY AND EQUIPMENT CLEANING AND INSPECTION RECORD (ICA66)

Machinery and equipment					Inspection			Consignor				Authorised Inspection Person	
Date of Cleaning	Description	Make	Model	Serial No.	Inspection Date	Inspection Result (pass/fail)	Details of rejection or subsequent cleaning	Name	Address	Contact No.	PHAC #	Name	Signature

Attachment 5

HIGH-PRESSURE HOT WATER CALIBRATION RECORD (ICA66)

Type of calibration			Date of calibration	Temperature of the high-pressure hot water °C	Unique identification	Person conducting calibration	
Annual	Bi-monthly	Follow-up				Name	Signature
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